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| **Sr. No.** | **Forms No.** | **Purpose of Form** | **Type of Form** | **Section under Companies Act, 2013** | **Rule under Companies Act, 2013** |
|  | RUN | Application for reservation of name | e-form | Section 4(4) | Rule 8 & 9 of Companies (Incorporation) Rules, 2014 |
|  | SPICE | Simplified Proforma for Incorporating Company Electronically | e-form | 4, 7, 12, 152 and 153 of the Companies Act, 2013 | Rules of Companies (Incorporation) Rules, 2014 |
|  | Spice MOA | e-Memorandum of Association | e-form | Sections 4 and 5 | Rules of Companies (Incorporation) Rules, 2014 |
|  | Spice AOA | e-Articles of Association | e-form | Sections 4 and 5 | Rules of Companies (Incorporation) Rules, 2014 |
|  | AGILE | Application for Goods and services tax Identification number, employees state Insurance corporation registration plus Employees Provident fund organisation registration | e-form | ---- | Pursuant to rule 38(A) of the Companies (Incorporation) Rules, 2014 |
|  | INC-3 | One person Company- Nominee Consent Form | e-form | Section 3(1) | Rule 4(2) to 4(6) of Companies (Incorporation) Rules, 2014 |
|  | URC-1 | Application by a Company for registration under Section 366 | e-form | Section 366 | Rule 3(2) of Companies (Authorised to Registered Rules), 2014 |
|  | INC-12 | Application to obtain License under Section 8 for Companies with charitable objects. | e-form | Section 8 (1) & 8(5) | Rule 19 & 20 of the Companies (Incorporation) Rules, 2014 |
|  | INC-18 | Application for Regional Director for conversion of Section 8 Company into any other kind of Company. | e-form | Section 8(4) (ii) | Rules 21(3) of Companies (Incorporation) Rules, 2014 |
|  | INC-22 | Notice of situation or change of Situation of Registered office and verification | e-form | Section 12(2) & 12(4) | Rule 25 & 27 of Companies (Incorporation) Rules, 2014 |
|  | INC-23 | Application to Regional Director for approval to shift the registered office from one state to another state or from Jurisdiction of one ROC to another within the same state | e-form | Sections 12(5)& 13 (4) | Rule 28 & 30 of Companies (Incorporation) Rules, 2014 |
|  | INC-24 | Application for approval of Central Government for Change of name of Company | e-form | Section 13(2) | Rules 29 (2) of Companies (Incorporation) Rules, 2014 |
|  | INC-27 | Conversion Public Company into Private Company or vice versa | e-form | Section 14, 18 | Rule 33, 37, 39 of Companies (Incorporation) Rules, 2014 |
|  | INC-28 | Notice of order of the Court or other authority | e-form | - | Rule 31 of Companies (Incorporation) Rules, 2014 |
|  | INC-22A | Active Company Tagging Identities and Verification (ACTIVE) | e-form | Section 12 | Rule 25A of the Companies (Incorporation) Rules, 2014 |
|  | DIR-3 | Application for allotment of Director Identification Number before appointment in an existing company or LLP | e-form | Section 153 | Rule 9(1) of Companies (Appointment and qualifications of directors) Rules, 2014 and Rule 10 of the Limited Liability Partnership Rules 2009 |
|  | DIR-3 KYC | Application for KYC of Directors | e-form | Section 153 | Rule 12A of the Companies (Appointment and qualifications of directors) Rules, 2014 |
|  | DIR-5 | Application for surrender of DIN | e-form | Section 153 | Rule 11(f) of the Companies (Appointment and qualification of directors) Rules, 2014 |
|  | DIR-6 | Intimation of change in particulars of Director/ Designated Partner to be given to the Central Government | e-form | --- | Rule 12(1) of the Companies (Appointment and qualification of directors) Rules, 2014 and Rule 10 of the Limited Liability Partnership Rules 2009 |
|  | DIR-9 | Report by a Company to ROC furnishing names and address of then directors of Company in case company fails to file financial statements, annual returns or fails to repay any deposit, interest, dividend | e-form | Section 164(2) | Rule 14(2) of Companies (Appointment and qualifications of directors) Rules, 2014 |
|  | DIR-2 | Consent to act as director | Physical | Section 152(5) | Rule 8 of Companies (Appointment and qualifications of directors) Rules, 2014 |
|  | DIR-8 | Intimation by director about other directorships / disqualifications, if any etc. | Physical | Section 164(2) | Rule 14(1) of Companies (Appointment and qualifications of directors) Rules, 2014 |
|  | DIR-11 | Notice of Resignation of Director to the ROC | e-form | Section 168(1) | Rule 16 of Companies (Appointment and qualifications of Directors) Rules, 2014 |
|  | DIR-12 | Particulars of Appointment of Directors, KMP and Change amongst them | e-form | Section 7 (1) (c), 168 & 170(2) | Rules 8,15 & 18 of Companies (Appointment and qualifications of Directors) Rules, 2014 |
|  | CRA-2 | Form of intimation of appointment of cost auditors by the Company to Central Government | e-form | Section 148(3) | Rule 6(2) & 6(3A) of the Companies (Cost records and audit) Rules, 2014 |
|  | CRA-4 | Form for filing Cost Audit Report with the Central Government | e-form | Section 148(6) | Rule 6(6) of the Companies (Cost records and audit) Rules, 2014 |
|  | CG-1 | Form for filing application or documents to Central Government | e-form | -- | -- |
|  | ADT-1 | Notice of appointment of auditors | e-form | Section 139(1) | Rule 4(2) of the Companies (Audit and Auditors) Rules, 2014 |
|  | ADT-2 | Application for removal of Auditors before the expiry of term | e-form | Section 140(1) | Rule 7(1) of the Companies (Audit and Auditors) Rules, 2014 |
|  | ADT-3 | Notice of Resignation of Auditors | e-form | Section 140(2) | Rule 8 of the Companies (Audit and Auditors) Rules, 2014 |
|  | GNL-1 | Form for filing an application with ROC for which no specific form is prescribed under the Act | e-form | -- | Rule 12(2) of Companies (Registration Offices and Fees) Rules, 2014 |
|  | GNL-2 | Form of submission of documents with ROC | e-form | --- | Rule 12(2) of Companies (Registration Offices and Fees) Rules, 2014 |
|  | GNL-3 | Particulars of person(s) or director(s) or charged or specified for the purpose of Section 2(60) | e-form | -- | Rule 12(3) of Companies (Registration Offices and Fees) Rules, 2014 |
|  | SH-7 | Notice to ROC for alteration of Share Capital | e-form | Section 64(1) | Rule 15 of Companies (Share Capital and Debentures) Rules 2014 |
|  | SH-8 | Letter of offer (Buy back) | e-form | Section 68 | Rule 17 (2) of Companies (Share Capital and Debentures) Rules, 2014 |
|  | SH-9 | Declaration of solvency to be filed along with letter of offer during buy back | e-form | Section 68(6) | Rule 17(3) of Companies (Share Capital and Debentures) Rules, 2014 |
|  | SH-11 | Return in respect of buy back of securities | e-form | Section 68(10) | Rule 17(13) of Companies (Share Capital and Debentures) Rules, 2014 |
|  | CHG-1 | Application for registration of creation, modification of charge (other than related to debentures) | e-form | Sections 77,78, 79, 384 | Rule 3(1) of Companies (Registration of charges) Rules, 2014 |
|  | CHG-4 | Particulars of Satisfactions of Charge | e-form | Section 82(1) | Rule 8(1) of Companies (Registration of Charges) Rules, 2014 |
|  | CHG-6 | Notice of appointment or cessation of receiver or manager | e-form | Sections 84(1) & 384 | Rule 9 of Companies (Registration of charges) Rules, 2014 |
|  | CHG-8 | Application to Central Government for condonation of delay in filing of charges | e-form | Sections 77(1) & 87 | Rule 12(2) of Companies (Registration of charges) Rules, 2014 |
|  | CHG-9 | Application for registration of creation or modification of charge for debentures or rectification of particulars filed in respect of creation or modification of charge for debentures | e-form | Sections 71(3), 77, 78 & 79, 384 | Rule 3 of Companies (Registration of charges) Rules, 2014 |
|  | PAS-2 | Information Memorandum | e-form | Section 31(2) | Rule 10 of Companies (Prospectus and allotment of Securities) Rules, 2014 |
|  | PAS-3 | Return of Allotment | e-form | Section 39(4) & 42(9) | Rule 12 & 14 of Companies (Prospectus and Allotment of Securities) Rules |
|  | MR - 1 | Return of appointment of managing director or whole time director or manager | e-form | Sections 196 & 197 | Rule 3 of Companies  (Appointment and remuneration of managerial personnel) Rules, 2014 |
|  | MR – 2 | Form of application to the Central Government for approval of appointment or reappointment and remuneration or increase in remuneration or waiver for excess or over payment to managing director or whole time director or manager and commission or remuneration | e-form | Sections 196, 197, 200, 201(1), 203(1) and schedule V | Rule 7 of Companies  (Appointment and remuneration of managerial personnel) Rules, 2014 |
|  | MR – 3 | Secretarial Audit Report | Physical | Section 204(1) | Rule 9 of Companies  (Appointment  and remuneration of  managerial personnel) Rules, 2014 |
|  | MGT – 14 | Filing of Resolutions and agreements with the RoC | e-form | Section 94(1), 117(1) precisely (Various other sections covering approval by special resolutions requiring filing in | Rules under these Sections |
|  | MGT – 15 | Form for filing report on annual general meeting | e-form | Section 121(1) | Rule 31(2) of Companies (management and administration) rules, 2014 |
|  | AOC – 4 | Form for filing financial statements and other documents with the RoC | e-form | Section 137 | Rule 12(1) of Companies (Accounts) Rules, 2014 |
|  | MGT - 7 | Annual Return | e-form | Section 92(1), 76 | Rule 11(1) of Companies (Management and Administration) Rules, 2014 |
|  | DPT -1 | Circular in the form of advertisement inviting deposits | Physical | Section 73(2)(a) | Rules 4(1) & 4(2) of Companies (Acceptance of Deposits) Rules, 2014 |
|  | DPT -3 | Return of Deposits | e-form |  | Rule 3, 16 of Companies (Acceptance of Deposits) Rules, 2014 |
|  | DPT -4 | Statement regarding deposits existing on the commencement of the Act | e-form | Section 74(1) | Rule 20 of Companies (Acceptance of Deposits) Rules, 2014 |